



STATE OF CONNECTICUT
OFFICE OF THE STATE TREASURER

**Unclaimed Property Division
Verification and Checklist
Calendar Year Ending December 31, 2015
(Maintain with Your Records – Do Not Mail to UCP)**

Holder Name _____ Tax ID Number _____

Street Address _____

City _____ State _____ Zip Code _____

State of Incorporation _____ Date of Incorporation _____

Every person, corporation, or other business association, banking or financial organization, life insurance corporation, utility, court or public authority must complete the following checklist **before filing their Connecticut Holder Report of Unclaimed Property**. This checklist includes by way of illustration, but not limitation, those items which are covered by sections of the Connecticut Unclaimed Property Law.

Please complete the checklist by checking "Yes" by each property type you are reporting. Each item checked "Yes" must be enumerated in your Holder Report of Unclaimed Property.

Please indicate the primary business activity of your company: _____

Did you file and remit a report of unclaimed property last year: Yes ____ No ____

If no, please explain: _____

Please check appropriate property types:

Code	Code Description	Years	Code	Code Description	Years
<u>Financial Institutions</u>			<u>Miscellaneous</u>		
___ AC01	Checking Accounts	3	___ MS01	Wages, Payroll, Salary	1
___ AC02	Savings Accounts	3	___ MS02	Commissions	1
___ AC03	Matured CD or Savings Certificates	3	___ MS03	Worker's Compensation Benefits	3
___ AC04	Christmas or Vacation Club Funds	3	___ MS04	Payment for Goods and Services	3
___ AC05	Money on Deposit to Secure Funds	3	___ MS05	Customer Overpayments	3
___ AC06	Security Deposits	3	___ MS06	Unidentified Remittances	3
___ AC07	Unidentified Deposits	3	___ MS07	Unrefunded Overcharges	3
___ AC08	Suspense Accounts	3	___ MS08	Accounts Payable	3
___ AC99	Aggregate CGS 3-65a(b)	3	___ MS09	Credit Balances/Accounts Receivable	3
<u>Official Checks</u>			___ MS10	Discounts Due	3
___ CK01	Cashier's Checks	3	___ MS11	Refunds Due	3
___ CK02	Certified Checks	3	___ MS13	Unclaimed Loan Collateral	3
___ CK03	Registered Checks (incl. bank m.o.)	3	___ MS14	Pension and Profit sharing Plans	3
___ CK04	Treasurer's Checks	3	___ MS15	Dissolution or Liquidation	1
___ CK05	Drafts	3	___ MS16	Miscellaneous Outstanding Checks	3
___ CK06	Warrants	3	___ MS17	Miscellaneous Intangible Property	3
___ CK07	Money Orders (non-bank)	7	___ MS18	Suspense Liabilities	3
___ CK08	Traveler's Checks:		___ MS99	Aggregate Miscellaneous Property	3
___ <i>If banking or financial organization is directly liable</i>		3	<u>Insurance</u>		
___ <i>If business association is directly liable (i.e.: Travelers Express is considered a business association)</i>		15	___ IN01	Individual Policy Benefits or Claims	3
___ CK09	Foreign Exchange Checks	3	___ IN02	Group Policy Benefits or Claims	3
___ CK10	Expense Checks	3	___ IN03	Proceeds Due Beneficiaries	3
___ CK11	Pension Checks	3	___ IN04	Proceeds From Matured Policies	3
___ CK12	Credit Checks or Memoranda	3	___ IN05	Premium Refunds	3
___ CK13	Vendor Checks	3	___ IN06	Unidentified Remittances	3
___ CK14	Checks Written Off to Income	3	___ IN07	Other Amounts Due Under Policy	3
___ CK15	Other Outstanding Official Checks	3	___ IN08	Agent Balances	3
___ CK16	CD Interest Checks	3	___ IN09	Limiting Age	3
___ CK99	Aggregate Uncashed Checks	3	___ IN10	Demutualization	3

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Code	Code Description	Years
<u>Court Funds</u>		
___ CT01	Escrow Funds	3
___ CT02	Condemnation Awards	3
___ CT03	Missing Heirs' Funds	1
___ CT04	Suspense Accounts	3
___ CT 05	Other Court or Public Authority Funds	3
___ CT 06	Bail Bonds	3
___ CT99	Aggregate Court Deposits	3

<u>Safe Deposit/Safekeeping</u>		
___ SD01	Safe Deposit Contents	5
___ SD01	Other Safekeeping	5
___ SD03	Other Tangible Property	5

<u>Other Insurance</u>		
___ IN01	Individual Policy Benefits or Claims	3
___ IN02	Group Policy Benefits or Claims	3
___ IN03	Proceeds Due Beneficiaries	3
___ IN04	Proceeds From Matured Policies	3
___ IN05	Premium Refunds	3
___ IN06	Unidentified Remittances	3
___ IN07	Other Amounts Due Under Policy	3
___ IN08	Agent Credit Balances	3
___ IN09	Limiting Age	3
___ IN10	Demutualization	3
___ IN99	Aggregate Insurance Property	3

<u>Mineral Proceeds</u>		
___ MI01	Net Revenue Interest	3
___ MI02	Royalties	3
___ MI03	Overriding Royalties	3
___ MI04	Production Payments	3
___ MI05	Working Interests	3
___ MI06	Bonuses	3
___ MI07	Delay Rentals	3
___ MI08	Shut-In Royalties	3
___ MI09	Minimum Royalties	3
___ MI99	Aggregate Mineral Interests	3

<u>Trust Property</u>		
___ TR01	Paying Agent Accounts	3
___ TR02	Undelivered or Uncashed Dividends	3
___ TR03	Funds Held in Fiduciary Capacity	7
___ TR04	Escrow Accounts	3
___ TR05	Trust vouchers	3
___ TR06	Pre-need Funeral Plans	3
___ TR99	Aggregate Plus Property	3

Code	Code Description	Years
<u>Utilities</u>		
___ UT01	Utility Deposits	1
___ UT02	Membership Fees	1
___ UT03	Refunds Or Rebates	1
___ UT04	Capital Credit Distributions	1
___ UT99	Aggregate Utility Property	1

<u>Securities</u>		
___ SC01	Dividends (\$)	3
___ SC02	Interest(Bonds/Debenture Coupons) (\$)	3
___ SC03	Principal(Bonds/Debenture)Payments(\$)	3
___ SC04	Equity Payments (\$)	3
___ SC05	Profits (Capital Gains) (\$)	3
___ SC06	Funds Paid to Purchase Shares (\$)	3
___ SC07	Funds for Stocks and Bonds (\$)	3
___ SC08	Shares of Stock (Certs Retd by PO) (sh)	3
___ SC09	Cash for Fractional Shares (\$)	3
___ SC10	Unexchanged Stock of Successor (sh)	3
___ SC11	Other Certificates of Ownership (sh)	3
___ SC12	Underlying/Outstanding Shares (sh)	3
___ SC13	From Liquidated/Redempt of Stock (sh)	3
___ SC14	Debentures/Bonds (sh)	3
___ SC15	US Government Securities (sh)	3
___ SC16	Mutual Fund Shares (sh)	3
___ SC17	Warrants (Rights) (sh)	3
___ SC18	Matured Bond/Debenture Principal (\$)	3
___ SC19	Dividend Reinvestment Plans (sh)	3
___ SC20	Credit Balances (\$)	3
___ SC21	Distributions-Mutual Fund Accounts (\$)	3
___ SC99	Aggregate Securities-Related (\$)	3

<u>Traditional IRA, SEP IRA, SARSEP IRA, Simple IRA</u>		
___ IR01	Cash	3
___ IR02	Mutual Funds	3
___ IR03	Securities	3
___ IR04	Reserved for Traditional IRA (Code Reserved for Future Use)	

<u>All Other Property</u>		
___ ZZZZ	Properties Not Identified Above	3